

# 1 POWER CONSERVATION IN COMMUNICATION SYSTEMS

## 2 Background of the Invention

### 3 1. Field of the Invention

4 This invention relates to saving power in ECP (Environmentally Conscious Product) design.  
5 The invention particularly relates to the use of the IEEE 802.3 standards based link auto-  
6 negotiation to effect power mode changes. More particularly, it relates to the employment of the  
7 next page facility of auto-negotiation to control the power level mode.

### 8 2. Description of the Related Art

9 In Ethernet LAN systems, a technique called Magic Packet is used to activate some powered-  
10 down devices. Ethernet products normally remain in a mode corresponding to the standard  
11 speeds in which the communications link was established. In a usual case, once the system has  
12 auto-negotiated to 100BaseTx (100 megabit per second speed) mode and transmitted data, the  
13 adapter, switch, router, et al. will remain in the 100BaseTx mode until some other system restart  
14 action is taken. The latter can be at initial power on (IPO) or when the physical layer device  
15 (PHY) at the other end of the cable switches to another mode of the Ethernet standard. From a  
16 performance or availability standpoint, this presents no problem.

17 From a power consumption perspective, however, it does present a problem, especially since  
18 the 100BaseTx continuously transmits an unscrambled idle data pattern. This causes a transceiver  
19 to consume power to transition the cable voltage at a rate which is determined by the data code  
20 and the scrambler. Also, the other system components such as the Media Access Control (MAC)  
21 and other Open System Interconnect (OSI) components typically to operate at full power.  
22 Although some power saving features can be used while the PHY is only in one of several speed

1 modes, once the PHY logic and adapter have determined that the 100BaseTx mode is viable, the  
2 logic will remain in that mode until reset or a power down occurs. Once the system is powered  
3 up, it will negotiate to the highest available speed mode without regard to power consumption.

#### 4 Brief Summary of the Invention

5 The invention enables low power modes by insuring that the data terminal equipment at both  
6 ends of the communication exchange system are capable and eligible to enter a low power mode.  
7 It employs a standard auto-negotiation procedure adapted to execute the low power process. In  
8 the auto-negotiation method of the invention, the adapter can be powered down as well as  
9 powered up.

10 In accordance with the invention, data terminal equipment devices at both ends of a  
11 communication system for exchanging data signal one another whether each is capable of a low  
12 power mode. If both devices are capable of a low power mode, then subsequently in response to  
13 conditions of low usage, the devices exchange signals indicating eligibility. If both devices are  
14 eligible for the low power mode, then both ends of the system enter a low power usage state and  
15 remain therein until signals are exchanged that permit data communication by resumption of  
16 normal power modes by both ends of the data exchange system.

#### 17 Brief Description of the Drawing

18 The invention is described in detail by referring to the various figures which illustrate  
19 specific embodiments of the invention, and wherein like numerals refer to like elements.

20 FIG. 1 is a block diagram of a typical LAN system with the connective communication  
21 devices.

1 FIG. 2 is an illustration of an Organizational User Identifier tag code that complies with the  
2 IEEE 802.3 Standard.

3 FIG. 3 is a flowchart of a process according to the invention.

4 FIG. 4 is a flowchart of the LPM check of FIG. 3.

5 FIG. 5 is a block diagram of a typical hardware implementation of a media access control and  
6 a physical layer device such as found in an Ethernet LAN.

7 FIG. 6 is schematic representation of a Magic Packet Frame Structure.

#### 8 Detailed Description of the Invention

9 Logic chips often have some power saving feature. For Complementary Metal Oxide types,  
10 the clock generator can be disabled since power is consumed only during switching. Some  
11 integrated circuits have a capability to selectively power down certain parts when not in use,  
12 others by slowing down the clock. The manner in which power saving functions is design  
13 dependent. Some chips can be powered down completely whereas others need to maintain some  
14 level of power so as to save state information. The power conservation features are not part of the  
15 invention which is directed to determining and controlling the activation of such features.

16 Ethernet Data Terminal Equipment (DTE) can be put into a low power mode state to conserve  
17 power consumption. The following description applies to Ethernet, the most popular LAN  
18 adapter. LAN (Local Area Networks) usually comprise large numbers of DTEs so it is especially  
19 important to be able to put unused or idle equipment in power saving states, sometimes referred  
20 to a putting them to sleep.

21 Many networks are considered 'mission critical' and need to be available 100% of the time.  
22 This does not mean that all the components must run at full power all the time even during

1 periods of low usage or while not required.

2 In the following description, the abbreviations listed below are used. Most are part of the  
3 IEEE 802.3 Standard related to Local Area Networks and Ethernet systems. The invention is  
4 described as applied to a LAN but can be used in other multi-device systems having some form  
5 of auto-negotiation capability.

6 AUI - Attachment Unit Interface

7 DTE - Data Terminal Equipment

8 ECP - Environmental Conscious Product

9 GBS - Billion (Giga-) bits per second

10 GMII - Gigabit Media Independent Interface

11 IPO - Initial Power On

12 LAN - Local Area Network

13 LLC - Logical Link Control

14 LPM - Low Power Mode

15 MAC - Media Access Control

16 MAU - Medium Attachment Unit

17 MBS - Million (Mega-) bits per second

18 MDI - Media Dependent Interface

19 MIB - Management Information Base

20 MII - Media Independent Interface

21 OSI - Open System Interconnect

22 OUI - Organizationally Unique Identifier

- 1 PCS - Physical Coding Sublayer
- 2 PHY - Physical Layer Device
- 3 PLS - Physical Layer Signaling
- 4 PMA - Physical Medium Attachment
- 5 PMD - Physical Medium Dependent
- 6 PMD - Physical Media Dependent (sublayer of the Ethernet Physical Layer)
- 7 PMI - Physical Media Interface
- 8 Tx\_PCS - interface lead to the PCS sublayer
- 9 10BaseT - an IEEE 802.3 physical layer specification for a 10MBS local area network
- 10 connection using two twisted-pair telephone wires.
- 11 100BaseTx - an IEEE 802.3 physical layer specification for a 100MBS local area network
- 12 connection using twisted pair wire.
- 13 Ethernet 10/100 MBS systems operate in more than one mode. The 10 MBS and 100 MBS
- 14 systems are designed to operate compatibly through the use of auto-negotiation algorithms to
- 15 determine in which mode the system is operating. In a 10 MBS only system, a data packet is
- 16 transmitted followed by a series of idle pulses. Then a link pulse is transmitted every 8 to 24
- 17 milliseconds. In a 10/100 MBS system, fast link pulses are transmitted to determine whether the
- 18 system should be changed to one of the other possible modes for faster data transmission, e.g.,
- 19 the 100BaseTx transmission speeds. The fast link pulses occur at a more rapid rate than the
- 20 10BaseT link pulses. The number and spacing of the fast link pulses indicate which of the
- 21 Ethernet standards is being used. After a determination of the Ethernet standard in use and its
- 22 implied transmission speed, the PHY switches to the appropriate mode of operation, usually the

1 10BaseT or 100BaseT.

2 The invention utilizes the capability of system intelligence, whether embodied in hardware or  
3 software, under the command of algorithms specifically designed to optimize power savings. If  
4 the system has power saving capabilities, the system will work at its highest speed until no  
5 packets are to be transmitted. If power saving criteria are met such as night or holidays or other  
6 low usage periods are met, then the system components are dropped back to the 10BaseT mode  
7 where it remains until auto-negotiation or data specifies that faster data is about to be received.  
8 The advantage of the procedure is that, whereas 100BaseTx (and some other Ethernet standards)  
9 are high power modes, 10BaseT requires a link pulse only every 24 milliseconds. This allows the  
10 PHY energy use to be on a low duty cycle and the other components of the systems to be put to  
11 sleep, e.g., put in a LPM. The algorithm, inter alia, checks whether to put both ends of the  
12 communication structure are compatible for entering LPMs and for restoring power when  
13 necessary.

14 The invention uses the IEEE 802.3 Standard-based link auto-negotiation procedure to ensure  
15 functional compatibility and to signal power mode changes. It has the advantage that it can occur  
16 at any time. The next page facility of auto-negotiation is employed to indicate that a DTE is LPM  
17 capable and whether it can be put in a LPM, i.e., eligible for the LPM.

18 Once both DTEs can be put in a LPM, their transmission circuits are turned off and their  
19 receive and auto-negotiation circuits remain powered, but can be put in the 10BaseT mode to  
20 conserve power.

21 In communications systems for coupling computers together to exchange data, certain  
22 devices must be powered for specific operations. In the Ethernet system, the physical layer

1 receive logic, auto-negotiation, synchronizer, clock generator, PMD, and PMI must remain in a  
2 powered-up state to begin the auto-negotiation process. Once begun, the PHY transmit, Tx\_PCS,  
3 PMD, and PMI must be powered up to complete the process.

4 One arrangement requires that the PHY logic and the receive MAC State logic, Address  
5 Match, and State Register have full power in order to receive a Magic Packet. The latter only  
6 wakes a powered-down system since the adapter must be powered up to receive the Magic  
7 Packet. Using the auto-negotiation method according to the invention, the adapter can be in  
8 either the powered-up or the powered-down condition.

9 The Magic Packet requires that the receive PHY logic be active to receive the frame and the  
10 MAC logic must be active to decode the Magic Packet format. The structure of a Magic Packet  
11 is shown in FIG. 6. The destination address 61 segment comprises six bytes. The destination  
12 address of the Magic Packet frame can be either the adapter's individual address or a broadcast  
13 address.

14 The source address 62 uses six bytes. The data fields 64 and 68 can include from 46 to 1500  
15 bytes. The specific length is denoted in a length/type field 63.

16 A CRC field 69 contains four bytes of a cyclic redundancy check for error control.

17 A Magic Packet (wake-up) segment 65 is shown expanded into a header 66 of six bytes (48  
18 logical 1s) and the individual address field repeated 16 times.

19 The Magic Packet segment can be located anywhere within the payload data of the Magic  
20 Packet frame. Once a Magic Packet segment is detected, a Magic Packet output signal is asserted  
21 which will cause a host interrupt to be generated while it is asserted. When the system including  
22 an adapter with Magic Packet detection logic is powered down, it can be awakened (powered up)

1 by the adapter when the adapter receives a Magic Packet so long as the adapter remains powered  
2 up. When the system ascertains that it can return to a sleep mode, it will do so until the adapter  
3 received a subsequent Magic Packet.

4 The Address Match logic is needed to match the Magic Packet contents with the MAC  
5 address. It is not always the case that the Address Match logic is located in the MAC, e.g., in  
6 those cases where the filtering is performed in the higher layers. It only supports wake-on-LAN  
7 so the adapters cannot be powered down or entered into a "sleep" mode.

8 On the other hand, with auto-negotiation the MAC can be in a powered-down condition  
9 while the auto-negotiation process occurs. The auto-negotiation technique permits the adapter to  
10 be in a powered-down state. Only the PHY receive logic must be powered up but can be in a  
11 10BaseT lower power mode.

12 An example of the procedure starts with DTE IPO followed by an initial link. The auto-  
13 negotiation process exchanges signals indicating whether they are capable of LPM. This can be  
14 done, for example, via the OUI (explained in detail below) low order bit. Eligibility to go to the  
15 LPM is not signaled at this time.

16 After a time period or after data packets have been transmitted, one of the DTEs determines  
17 that it is eligible to go to a LPM. The reasons include a period of inactivity, operator intervention,  
18 low usage times, and the like. It then performs auto-negotiation again but this time it indicates it  
19 is LPM eligible. This is done, for example, using the next higher bit of the OUI as explained  
20 below. If the other DTE is not eligible for a LPM, both DTEs remain in a normal power mode. If  
21 the other DTE is also eligible, then it initiates another auto-negotiation exchange indicating it is  
22 LPM eligible. If the first DTE is still LPM eligible, then both DTEs perform a LPM transition.



1 When one of the DTEs is ready to transmit data, it initiates an auto-negotiation exchange  
2 indicating it is no longer LPM eligible. This causes both DTEs to resume a normal power mode.

3 The auto-negotiation exchange comprises a base page plus optional next pages. One of the  
4 standard defined next pages is the OUI tagged message as defined in Clause 28C (Message Code  
5 #5) of the IEEE 802.3 Standard. The format is described in connection with FIG. 2 below.

6 FIG. 1 is included as background and shows the various devices in a LAN DTE. The upper  
7 layers and logical link control are coupled together via a number of physical layer devices, PHYs.  
8 The configurations can adapt to different speeds of communications. The key to system  
9 adaptability is the MAC. The invention is directed to conserving power by putting the MAC and  
10 higher layers into a LPM.

11 FIG. 2 is an illustration of an Organizational Unique Identifier. It is part of the IEEE 802.3  
12 standard. It is preceded by a bit pattern of 0000 0000 0101. The remainder constitute four user  
13 code fields. The 24 bits, comprising the user code fields 1 and 2 plus the top two bits of the user  
14 code 3, identify the user and the remaining 20 bits are defined by the user. In FIG. 2, the least  
15 two significant bits, i.e., the low bit of user code 4 are utilized by the invention to communicate  
16 the LPM capabilities and conditions of the devices.

17 In the following description, references are made to the flowcharts depicting the sequence of  
18 operations performed by a computer system. The symbols used are standard flowchart symbols  
19 recommended by the American National Standards Institute and the International Standards  
20 Organization. In the explanation, an operation may be described as being performed by a  
21 particular block in the flowchart. This is to be interpreted as meaning that the operations referred  
22 to are performed by programming and executing a sequence of instructions that produces the

1 result said to be performed by the described block. The actual instructions used depend on the  
2 particular system used to implement the invention. Different processors have different instruction  
3 sets but persons of ordinary skill in the art are familiar with the instruction sets with which they  
4 work and can implement the operations set forth in the blocks of the flowchart.

5 FIG. 3 is an illustrative flowchart of the invention. The procedure begins, for example, when  
6 an Ethernet 100 MBS connection is established shown by a process block 30. The message  
7 packet is transmitted by a process block 31, and then the 100 MBS idle pattern begins at a  
8 process block 32.

9 Next, a decision block 33 tests whether an LPM feature is enabled. The details of this test are  
10 shown in FIG. 4. If the feature is not enabled, i.e., not capable or not eligible, then the 100 MBS  
11 idle pattern continues as shown by a process block 34 until another packet is to be transmitted.  
12 No attempt is made to send commands to the rest of the system to attempt a power saving mode.

13 If the LPM feature is enabled, a test is made by a decision block 35 to determine whether  
14 valid conditions are met to permit the system to be switched to an LPM. Examples of conditions  
15 that would validate entering an LPM mode include night hours (not normal work hours), timeout  
16 (the system has not been used for a predetermined period of time), an operator-generated signal,  
17 or a low activity time (holidays and week ends). If the preprogrammed conditions are not present,  
18 then the 100 MBS (or existing) idle pattern continues until another data packet is to be  
19 transmitted as shown by the process block 34.

20 If the necessary conditions are present, then the LPM is activated as shown by a process  
21 block 36. In an Ethernet environment, this could cause switching to the 10BaseT mode and link  
22 pulses continue at the lower rate while the physical layer is in the LPM. Command signals are

1 transmitted to the both ends of the system, e.g., the client and the server (or switch or router)  
2 ends. The upper communication layers enter an LPM as specified by their particular system  
3 program.

4 The PHY enters an LPM but continues to monitor the link pulses for the start of packet  
5 patterns. The PHY monitoring is shown as a decision block 37 which issues a wake-up call, i.e.,  
6 restoration of full power mode, when it detects link pulses for starting packet patterns for  
7 10BaseT, for 100BaseTx, or data patterns or for a specified pattern of fast link pulses indicating  
8 autonegotiation is preparing to restore full power for receiving 100BaseTx, 1000BaseT, or other  
9 system mode.

10 If no wake-up call is detected, the PHY (and other system components which may be in an  
11 LPM) continue in the LPM. If a wake-up call is detected, then the LPM is inactivated and  
12 corresponding command signals are transmitted to the client and server (or switch or router)  
13 ends. The upper communication layers are changed to their normal power levels and a receiving  
14 mode is restored. The PHY also has its normal full power restored and enters its normal data  
15 receive/send mode.

16 The process then resumes at the process block 31 for receiving or transmitting data.

17 FIG. 4 shows details of the LPM check shown in FIG. 3 as the decision block 33. An OUI  
18 message for autonegotiation of a next page is received by an input/output block 40. Then follows  
19 a number of tests to determine whether the coupled devices can be powered down to conserve  
20 power. The first test in a decision block 41 checks to determine whether the OUI is compatible  
21 with the DTE.

22 Each DTE's design dictates whether it can respond to OUI LPM information received from

1 its link partner. If it is unable to respond, it will not declare its OUI LPM ability during the auto-  
2 negotiation process. If the DTE does not support the LPM, it will take the NO path from the  
3 decision block 41. Assuming the DTE supports LPM, the YES path is taken from the decision  
4 block 41. During the auto-negotiation process, the remote DTE will transmit its capabilities to its  
5 link partner so that both can perform the checks indicated by FIG. 4. The LPM will be performed  
6 only if both DTEs are able to perform the LPM function.

7 Next, the remote DTE is tested to ascertain whether it is low power capable. This is done  
8 using the 0 bit of user code 4 of an OUI as shown by a decision block 43. A decision block 45  
9 checks whether the local DTE is LPM capable. Two more decision blocks 46 and 47 test the  
10 eligibility of the remote and local DTE, respectively, to determine whether they are eligible for  
11 the LPM.

12 If any of the tests fail, normal power is continued as shown in a process block 49. If all tests  
13 are successful, the LPM is activated as shown in a process block 48.

14 FIG. 5 is provided for reference and shows a typical hardware set-up of a MAC 5 and a PHY  
15 51 for a 10/100 MBS Ethernet MAC and PHY. The functions of the various components are well  
16 known in the art and need not be explained in detail for an understanding of the invention. The  
17 special registers 52 include the auto-negotiation registers as well as other PHY registers. The  
18 components of the group 53 must remain powered up to begin the auto-negotiation process and  
19 those of the group 54 must be powered up to complete the process. The complete receive PHY  
20 logic and the receive MAC State Logic, Address Match, and State Register must remain powered  
21 up to receive a Magic Packet, which only wakes up a powered-down system. This is shown as the  
22 POWER ON 1 signal. The POWER ON 2 is the signal for powering up generated by the auto-

1 negotiation method according to the invention.

2 In a 1000 MBS Ethernet MAC and PHY, the special registers 52 and the logic group 53  
3 (except for the PMD and PMI circuits) are located in the MAC.

4 While the invention has been particularly shown and described with reference to a preferred  
5 embodiment thereof, it will be understood by those skilled in the art that various changes and  
6 modifications in form and details may be made therein without departing from the spirit and  
7 scope of the invention according to the following claims.

1000 MBS Ethernet MAC and PHY, the special registers 52 and the logic group 53 (except for the PMD and PMI circuits) are located in the MAC. While the invention has been particularly shown and described with reference to a preferred embodiment thereof, it will be understood by those skilled in the art that various changes and modifications in form and details may be made therein without departing from the spirit and scope of the invention according to the following claims.